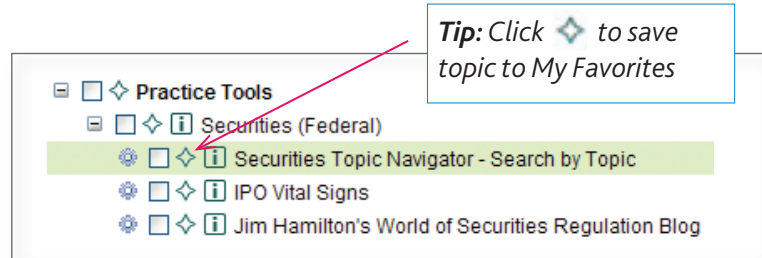


Securities Topic Navigator

Topic Navigator for Securities presents CCH and Aspen Publishers material through a topically-organized map. You can use the map to locate a particular topic of interest (either via browse or search) and then link directly to pertinent resources available within your IntelliConnect subscription contents.

How to Get There

1. From the Browse Tree, find and click **Practice Tools** (last item listed)
2. Locate and click **Securities (Federal)** to expand the menu
3. Click **Securities Topic Navigator**



Publications . . . Link to material from the following publications:

- *Business Judgment Rule* by Stephen A. Radin
- *CCH Federal Securities Law Reporter*
- *Corporate Finance and the Securities Laws* by Johnson and McLaughlin
- *Delaware Law of Corporations and Business Organizations* by Balotti and Finkelstein
- *Folk on the Delaware General Corporation Law* by Welch, Turezyn and Saunders
- *Insights* by Amy L. Goodman
- *Mergers, Acquisitions, and Buyouts* by Ginsburg and Levin
- *A Practical Guide to SEC Proxy and Compensation Rules* edited by Goodman, Olson and Fontenot
- *Raising Capital: Private Placement Forms & Techniques* by Brown and Max
- *Regulation of Corporate Disclosure* by J. Robert Brown, Jr.
- *Regulation of Securities: SEC Answer Book* by Steven Mark Levy
- SEC Compliance and Disclosure Interpretations
- SEC No-Action Letters
- SECnet—Significant SEC Filings
- *Securities Regulation* by Loss, Seligman and Paredes
- *U.S. Regulation of the International Securities and Derivatives Markets* by Greene, Beller, Rosen, Silverman, Braverman & Sperber

Browsing the Topic Navigator

Browse to a specific topic of interest and link directly to pertinent resources.

1. Click a **Topic** of interest to expand.
2. Click a desired **subtopic** to display publications with related documents.
3. Click a **publication** name to display related documents.

A screenshot of the Topic Navigator interface. On the left is a tree view with the following structure:

- Select specific topic(s) to narrow search or expand a topic to browse deeper levels.
- Select All Topics...
- ACCOUNTING AND AUDITING
- BROKER-DEALERS (circled in red)
 - In General
 - Alternative Trading Systems
 - In general
 - Communication with clients (circled in red)
 - Quotation and transaction information
 - System requirements (Regulation)
 - Analyst Conflicts of Interest

 On the right is a list of documents under the heading 'Electronic communications with customers'. The list includes:

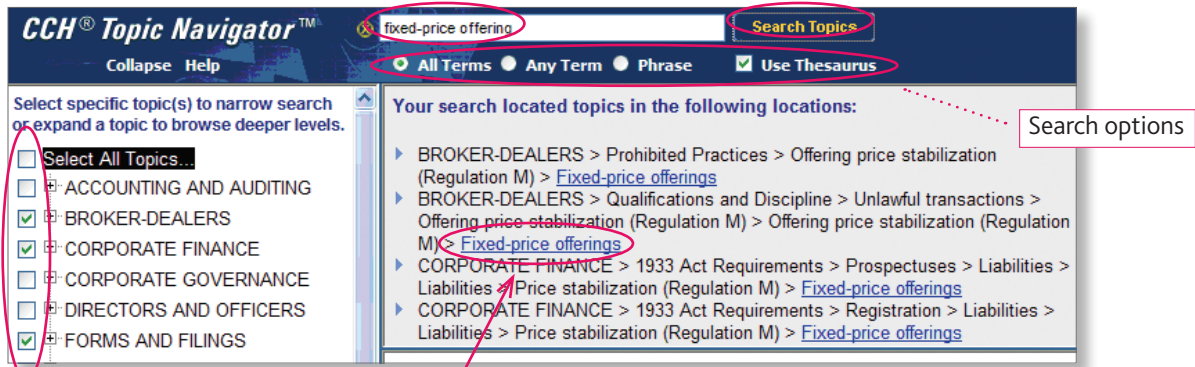
- Federal Securities Law Reporter [15]
- Corporate Finance and the Securities Laws - Johnson & McLaughlin [5]
 - Electronic Delivery of Prospectus... [§1.05[C][3][i]]
 - "Online" Offerings... [§3.04[F]]
 - "Deal Sites"... [§3.04[H]]
 - Information on the Company's Website... [§3.06[C]] (circled in red)
 - Electronic Delivery of Required Documents... [§3.06[K]]

 A text box at the bottom left states: 'Icons indicate whether the document is [checkmark icon] or is not [document icon] available in your subscription'. A red dashed line connects the 'Information on the Company's Website' document link to step 4 of the instructions.

4. Click a document link to access the full-text of a document within IntelliConnect.

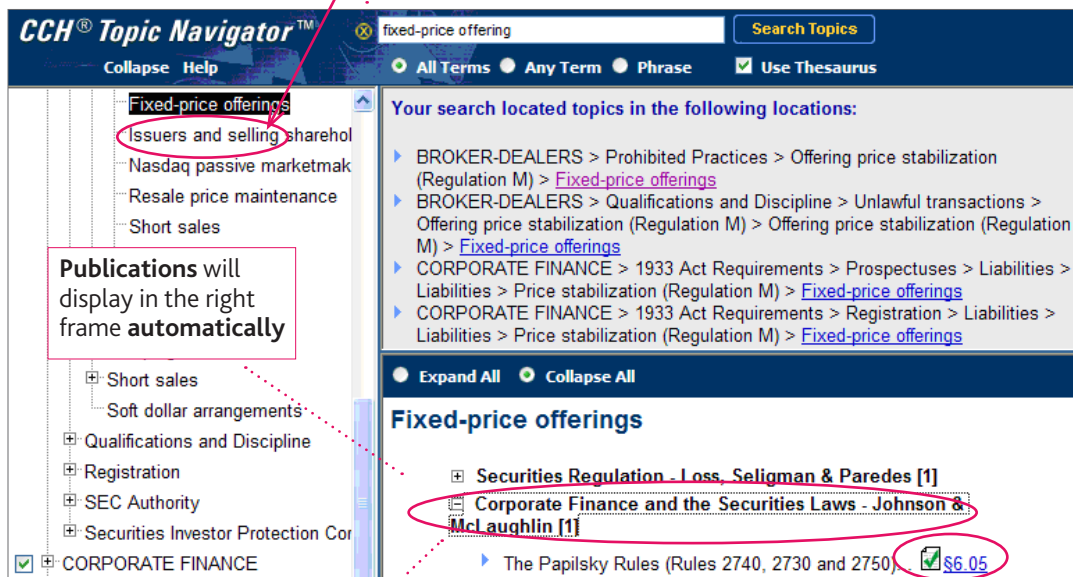
Search for a specific topic of interest and link directly to pertinent resources.

1. Check **Select All Topics** or choose **Specific Topics**
2. Type your search term, e.g., **fixed-price offering**
3. Click **Search Topics** and view resulting list of topics displayed in the right panel.



4. Click a topic link in the search results to expand the Browse pane at that topic's location.
Note: if a topic has **subtopics**, click subtopic to display publications.

Click to clear your search



5. Click a **publication** name to display related documents

6. Click a **document** link to access the full-text of a document within your IntelliConnect subscription contents